

**COMPUTER MATCHING AGREEMENT  
BETWEEN THE SOCIAL SECURITY ADMINISTRATION  
AND  
THE DEPARTMENT OF VETERANS AFFAIRS  
VETERANS BENEFITS ADMINISTRATION  
Match #1309**

**I. Purpose**

This computer matching agreement sets forth the terms, conditions, and safeguards under which the Department of Veterans Affairs (VA), Veterans Benefits Administration (VBA) will provide the Social Security Administration (SSA) with VA compensation and pension payment data. This disclosure will provide SSA with information necessary to verify an individual's self-certification of eligibility for the Medicare Prescription Drug (Medicare Part D) subsidy (Extra Help). It will also enable SSA to identify individuals who may qualify for Extra Help as part of the agency's Medicare outreach efforts.

**II. Legal Authority**

This agreement is executed in compliance with the Privacy Act of 1974 (5 U.S.C. § 552a), as amended by the Computer Matching and Privacy Protection Act of 1988, and the regulations and guidance promulgated thereunder.

The legal authorities for SSA to conduct this computer matching are sections 1144(a)(1) and (b)(1), and 1860D-14(a)(3) of the Social Security Act (Act) (42 U.S.C. §§ 1320b-14(a)(1) and (b)(1), 1395w-114(a)(3)).

**III. Definitions**

- A. "Compensation and Pension Payment Data" means information pertaining to compensation and pension benefits paid to anyone by VA based on an individual's military service.
- B. "Medicare Outreach Efforts" means SSA activities designed to identify and notify Medicare beneficiaries under section 1144 of the Act who may be eligible for:
  - (1) medical assistance for payment of the cost of Medicare cost-sharing under the Medicaid program, and
  - (2) Medicare prescription drug subsidies. SSA provides lists of those who received notification about Medicare cost-sharing assistance to the state agencies that administer Medicare Savings Programs (MSP).
- C. "Extra Help" means the low-income subsidy assistance that Medicare beneficiaries receive under the Medicare prescription drug program if they have limited income and resources. SSA certifies to the Department of Health and Human Services that a beneficiary can receive Extra Help to pay for Medicare prescription drug plan costs, such as monthly premiums, annual deductibles, and prescription co-payments.

#### IV. **Responsibilities of the Parties**

##### A. VA

1. On a monthly basis, VA will disclose VA compensation and pension payment data to SSA.
2. The components responsible for this disclosure on behalf of VA are the VBA's Compensation Service and Pension and Fiduciary Service.

##### B. SSA

SSA will perform the following functions after the match of name, Social Security number (SSN) and date of birth (DOB) have been verified:

1. SSA will match VA's data with data in SSA's Medicare Database (MDB) system of records, 60-0321, to verify an individual's self-certification of eligibility for Extra Help.
2. SSA will use VA's data to screen Medicare-eligible individuals for potential Extra Help eligibility.
3. SSA will use VA's data to determine an individual's eligibility for Extra Help and to identify such individuals to the state agencies that administer MSP, unless those individuals do not consent to share their information with the state agencies.
4. SSA will use VA's data to identify potential MSP-eligible individuals and will share lists of those individuals with the state agencies that administer MSP, unless those individuals do not consent to share their information with the state agencies.
5. SSA will provide Congress and the Office of Management and Budget (OMB) with notice of this program and will publish the required matching notice in the Federal Register (Fed. Reg.).

#### V. **Justification and Anticipated Results**

##### A. Justification

Under section 1860D-14 of the Act, SSA is required to determine the eligibility of applicants who self-certify their income, resources, and family size for Extra Help. SSA is responsible for verifying, on a pre-enrollment basis, an applicant's income and resource allegations. SSA periodically redetermines the eligibility and subsidy amounts for these individuals, thereafter. In addition, section 1144 of the Act requires SSA to conduct outreach efforts for MSP and subsidized Medicare prescription drug coverage.

SSA and VA have determined that a computer matching program is the most efficient, expeditious, and effective means for SSA to obtain and process the income and resource information from VA/VBA to verify self-certified income and resource information submitted for initial applications and redeterminations. This matching program will result in cost-savings for SSA, reduce the enrollment burden on Medicare beneficiaries, and expedite the enrollment process. No other administrative activity can accomplish this purpose with the same degree of efficiency.

#### B. Anticipated Results

Based upon a review of computer matching activities from October 2017 through September 2018, SSA estimates that the agency was able to avoid about 991 independent verifications for both initial applications for Extra Help and redeterminations of existing subsidies. This resulted in an estimated savings of \$39,182. SSA estimates that the cost of operating this computer match with VA was about \$142,300, for a benefit-to-cost ratio of 0.28:1. VA does not expect any savings as a result of this matching program. See Attachment for the Cost Benefit Analysis (CBA).

While the CBA determined this match was not cost effective in fiscal year (FY) 2018, Section 1144 of the Act requires SSA to conduct outreach efforts for the Medicare Savings Programs and subsidized Medicare prescription drug coverage. Therefore, we recommend the continuation of this matching operation

### VI. **Description of Matched Records**

#### A. Systems of Records (SOR)

VA will provide SSA with electronic files containing compensation and pension payment data from its SOR entitled “Compensation, Pension, Education, and Vocational Rehabilitation and Employment Records-VA” (58VA21/22/28), republished with updated name at 74 Fed. Reg. 14865 (April 1, 2009) and last amended at 77 Fed. Reg. 42593 (July 19, 2012).

SSA will match the VA data with SSA SOR “Medicare Database (MDB) File,” 60-0321, last fully published at 71 Fed. Reg. 42159 (July 25, 2006) and amended at 72 Fed. Reg. 69723 (December 10, 2007) and 83 Fed. Reg. 54969 (November 1, 2018).

The information in these systems of records may be updated during the effective period of this agreement as required by the Privacy Act.

#### B. Number of Records

VA’s data file will consist of approximately 7.5 million electronic records. The SSA comparison file contains approximately 110 million records obtained from the MDB. The number of people who apply for Extra Help determines in part the number of records matched.

C. Specified Data Elements

SSA will conduct the match using the SSN, name, DOB, and VA claim number on both the VA file and the MDB.

D. Frequency of Matching

VA will furnish SSA with an electronic file containing VA compensation and pension payment data monthly. The actual matching will take place approximately the first week of every month.

**VII. Accuracy Assessments**

The SSA Enumeration System used for SSN matching is 100 percent accurate based on SSA's Office of Analytics, Review, and Oversight (FY 2018 Enumeration Accuracy Review Report, April 2019).

**VIII. Procedures for Individualized Notice**

SSA will provide direct notice, in writing, to all applicants or representative payees at the time of their application for Medicare benefits that SSA will match their records against those of other agencies to verify their eligibility. SSA will periodically provide similar notices to all recipients at least once during the life of the match. SSA will also publish notice of this matching program in the Federal Register, in accordance with the requirements of the Privacy Act and OMB guidelines.

VA will notify all applicants who apply for VA benefits that VA will conduct matching programs. VA's notice consists of appropriate language printed on its application forms or a separate handout with appropriate language, when necessary. VA will provide subsequent notices to its beneficiaries as required. VA's subsequent notice consists of appropriate language mailed by check stuffer or letter to all potentially affected beneficiaries.

**IX. Verification Procedure and Opportunity to Contest**

Before making an unfavorable decision on an Extra Help application or redetermination based on the information received from VA, SSA will provide a written Pre-Decisional Notice (for initial Extra Help applications) to each applicant or Notice of Planned Action (for redeterminations) to each beneficiary when SSA decides such adverse action is necessary. The notice will inform the individual of the match findings and provide the following information:

- A. SSA has received information from VA pertaining to receipt of a compensation or pension payment, which indicates that an adverse action affecting the individual's eligibility for subsidy assistance is necessary.

- B. The individual has a specified number of days (at least 10 days) from the date of the notice to contact SSA to contest the Pre-Decisional Notice or the Notice of Planned Action and submit evidence, if required, to support a decision that SSA should award a full or partial subsidy.
- C. Unless the individual notifies SSA otherwise within the specified timeframe, SSA will conclude that the data VA provides is correct and will make the necessary adjustment to the individual's Extra Help application. SSA will consider failure to respond to the notice sufficient justification for taking the adverse action. SSA will permit further appeals of adverse action as described in applicable instructions and regulations.

**X. Procedures for Retention and Timely Destruction of Records**

SSA will retain the electronic files received from VA only for the time required for any processing related to the matching program and will then return the files to VA or destroy them by means of electronic erasure. SSA must retain some information on particular individuals, which this matching program will generate, in order to meet evidentiary requirements. If such retention is warranted, SSA will retire the retained records in accordance with applicable Federal Records Retention Schedules (44 U.S.C. § 3303a).

**XI. Records Usage, Duplication, and Redislosure Restrictions**

- A. SSA will adhere to the following limitations on the use, duplication, and disclosure of the electronic files and data that VA provides to SSA:
  - 1. SSA will use and access the files VA provides only for the purposes described in this agreement.
  - 2. SSA will not use the data to extract information concerning individuals therein for any purpose not specified in this agreement.
  - 3. SSA will not duplicate or disseminate the files VA provides within or outside SSA without the written permission of VA. VA will not give such permission unless the law requires disclosure or the disclosure is essential to the conduct of the matching program. For such permission, SSA must specify in writing: (1) what data SSA is requesting be duplicated or disseminated; (2) to whom the data is being duplicated or disseminated; and (3) the reasons that justify such duplication or dissemination.
- B. Both parties will keep an accurate accounting of disclosures from an individual's records as required by the Privacy Act at 5 U.S.C. § 552a(c).

## **XII. Security Procedures**

SSA and VA will comply with the requirements of the Federal Information Security Management Act (FISMA), 44 U.S.C. Chapter 35, Subchapter II, as amended by the Federal Information Security Modernization Act of 2014 (Pub. L. 113-283); related OMB circulars and memoranda, such as Circular A-130, *Managing Information as a Strategic Resource* (July 28, 2016) and Memorandum M-17-12, *Preparing for and Responding to a Breach of Personally Identifiable Information* (January 3, 2017); National Institute of Standards and Technology (NIST) directives; and the Federal Acquisition Regulations, including any applicable amendments published after the effective date of this agreement. These laws, directives, and regulations include requirements for safeguarding Federal information systems and personally identifiable information (PII) used in Federal agency business processes, as well as related reporting requirements. Both agencies recognize and will implement the laws, regulations, NIST standards, and OMB directives including those published subsequent to the effective date of this agreement.

FISMA requirements apply to all Federal contractors, organizations, or entities that possess or use Federal information, or that operate, use, or have access to Federal information systems on behalf of an agency. Both agencies are responsible for oversight and compliance of their contractors and agents.

### **A. Loss Reporting**

If either SSA experiences an incident involving the loss or breach of PII provided by VA under the terms of this agreement, they will follow the incident reporting guidelines issued by OMB. In the event of a reportable incident under OMB guidance involving PII, SSA is responsible for following the established procedures, including notification to the proper organizations (e.g., United States Computer Emergency Readiness Team, the agency's privacy office). In addition, SSA will notify VA's Systems Security Contact named in this agreement. If SSA is unable to speak with VA's Systems Security Contact within one hour, SSA will contact the VA Network and Security Operations Center at 1-800-877-4328.

### **B. Breach Notification**

SSA will follow PII breach notification policies and related procedures as required by OMB. If SSA determines that the risk of harm requires notification to affected individuals or other remedies, SSA will carry out these remedies without cost to the other agency.

### **C. Administrative Safeguards**

SSA will restrict access to the data matched and to any data created by the match to only those authorized employees and officials who need it to perform their official duties in connection with the uses of the data authorized in this agreement. Further, SSA will advise all personnel who have access to the data matched and to any data created by the match of the confidential nature of the data, the safeguards

required to protect the data, and the civil and criminal sanctions for noncompliance contained in the applicable Federal laws.

#### D. Physical Safeguards

SSA will store the data matched and any data created by the match in an area that is physically and technologically secure from access by unauthorized persons at all times (e.g., door locks, card keys, biometric identifiers). Only authorized personnel will transport the data matched and any data created by the match. SSA will establish appropriate safeguards for such data, as determined by a risk-based assessment of the circumstances involved.

#### E. Technical Safeguards

SSA will process the data matched and any data created by the match under the immediate supervision and control of authorized personnel in a manner that will protect the confidentiality of the data, so that unauthorized persons cannot retrieve any data by computer, remote terminal, or other means. Systems personnel must enter personal identification numbers when accessing data on the agencies' systems. SSA will strictly limit authorization to those electronic data areas necessary for the authorized analyst to perform his or her official duties.

#### F. Application of Policies and Procedures

SSA will adopt policies and procedures to ensure SSA uses the information contained in SSA records or obtained from VA solely as provided in this agreement. SSA will comply with these policies and procedures and any subsequent revisions.

#### G. Security Assessments

NIST Special Publication 800-37, as revised, encourages agencies to accept each other's security assessments in order to reuse information system resources and/or to accept each other's assessed security posture in order to share information. NIST 800-37 further encourages that this type of reciprocity is best achieved when agencies are transparent and make available sufficient evidence regarding the security state of an information system so that an authorizing official from another organization can use that evidence to make credible, risk-based decisions regarding the operation and use of that system or the information it processes, stores, or transmits. Consistent with that guidance, the parties agree to make available to each other upon request system security evidence for the purpose of making risk-based decisions. Requests for this information may be made by either party at any time throughout the duration or any extension of this agreement.

**XIII. Comptroller General Access**

The Government Accountability Office (Comptroller General) may have access to all VA and SSA data, it deems necessary, in order to verify compliance with this agreement.

**XIV. Reimbursement**

Due to the nominal costs of services associated with providing data to SSA under this agreement, VA waives recovery of the costs pursuant to the Economy Act (31 U.S.C. § 1535). Should VA determine in the future that the cost of providing services is significant; SSA and VA may establish a reimbursable agreement allowing for cost adjustments.

**XV. Duration, Modification, and Termination****A. Duration**

The effective date of this agreement is September 6, 2020, provided that SSA reported the proposal to re-establish this matching program to the Congressional committees of jurisdiction and OMB in accordance with 5 U.S.C. § 552a(o)(2)(A) and OMB Circular A-108 (December 23, 2016), and SSA published notice of the matching program in the Federal Register in accordance with 5 U.S.C. § 552a(e)(12).

This agreement will be in effect for a period of 18 months.

The Data Integrity Boards (DIB) of VA and SSA may, within 3 months prior to the expiration of this agreement, renew this agreement for a period not to exceed 12 months if VA and SSA can certify to their DIBs that:

1. The matching program will be conducted without change; and
2. VA and SSA have conducted the matching program in compliance with the original agreement.

If either party does not want to continue this program, it must notify the other agency of its intention not to continue at least 90 days before the end of the period of the agreement.

- B. Modification:** The parties may modify this agreement at any time by a written modification, agreed to by both parties and approved by the DIB of each agency.
- C. Termination:** The parties may terminate this agreement at any time with the consent of both parties. Either party may unilaterally terminate this agreement upon written notice to the other party, in which case the termination shall be effective 90 days after the date of the notice, or at a later date specified in the notice.



SSA may immediately and unilaterally suspend the data flow under this agreement or terminate this agreement if SSA:

1. Determines that VA has used or disclosed the information in an unauthorized manner;
2. Determines that VA has violated or failed to follow the terms of this agreement; or
3. Has reason to believe that VA breached the terms for security of data. If SSA suspends the data flow in accordance with this subsection, SSA will suspend the data until SSA makes a final determination of a breach.

#### **XVI. Integration Clause**

This agreement constitutes the entire agreement of the parties with respect to its subject matter and supersedes all other data exchange agreements between the parties that pertain to the disclosure of the specified VA compensation and pension payment data by VA to SSA for the purposes described herein. SSA and VA have made no representations, warranties, or promises outside of this agreement. This agreement takes precedence over any other documents that may be in conflict with it.

#### **XVII. Persons to Contact**

##### A. VA Contacts:

##### **Matching Agreement**

Eric Robinson, Lead Program Analyst  
Compensation Service (212)  
810 Vermont Avenue, NW  
Washington, DC 20420  
Telephone: (202) 443-6016  
Fax: (202) 275-0562  
Email: [Eric.Robinson3@va.gov](mailto:Eric.Robinson3@va.gov)

##### **Computer Systems**

Scott Fagan, Senior Business Application Analyst  
Compensation Service  
810 Vermont Avenue, NW (215)  
Washington, DC 20420  
Telephone: (402) 420-4233  
Fax: (402) 402-4066  
Email: [Scott.Fagan@va.gov](mailto:Scott.Fagan@va.gov)

**Systems Security**

Tamer F. Ahmed  
Information Systems Security Officer  
End User Operations (EUO) – Enterprise Security Operations (ESO)  
IT Operations and Services (ITOPS)  
Office of Information and Technology (OIT)  
810 Vermont Avenue, NW  
Washington, DC 20420  
Telephone: (202) 461-9306  
GFE Mobile: (202) 578-7559  
Email: [Tamer.Ahmed@va.gov](mailto:Tamer.Ahmed@va.gov)

## B. SSA Contacts:

**Program Policy**

Zeenat Kolia, Acting Team Leader  
Medicare Team  
Office of Income Security Programs  
6401 Security Boulevard, 2-A-17-E Robert M. Ball Building  
Baltimore, MD 21235  
Telephone: (410) 965-8629  
Email: [Zeenat.Kolia@ssa.gov](mailto:Zeenat.Kolia@ssa.gov)

**Computer Systems Issues**

Angil Escobar  
Branch Chief DBIAE/Data Exchange and Verification Branch  
Office of IT Programmatic Business Support Office of Systems 3108 (3-D-1)  
Robert M. Ball Building  
6401 Security Boulevard  
Baltimore, MD 21235  
Telephone: (410) 965-7213  
Fax: (410) 966-3147  
Email: [Angil.Escobar@ssa.gov](mailto:Angil.Escobar@ssa.gov)

**Matching Agreement**

Ruthie Bright  
Office of the General Counsel  
Office of Privacy and Disclosure  
6401 Security Boulevard, G-401 WHR  
Baltimore, MD 21235  
Telephone: (410) 966-2785  
Email: [Ruthie.Bright@ssa.gov](mailto:Ruthie.Bright@ssa.gov)

**Systems Security Issues**

Jennifer Rutz, Director  
Office of Information Security  
Division of Compliance and Oversight  
Office of Systems  
Suite 3383 Perimeter East Building  
6201 Security Boulevard  
Baltimore, MD 21235  
Telephone: (410) 965-0266  
Email: [Jennifer.Rutz@ssa.gov](mailto:Jennifer.Rutz@ssa.gov)

**Project Coordinator**

Stephanie Meilinger  
Office of Data Exchange, Policy Publications, and International Negotiations  
Office of Data Exchange and International Agreements  
6401 Security Boulevard, 4-C-8-D Annex  
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Email: [Stephanie.Meilinger@ssa.gov](mailto:Stephanie.Meilinger@ssa.gov)

**XVIII. Social Security Signatures**

The signatories below warrant and represent that they have the competent authority on behalf of their respective agencies to enter into the obligations set forth in this agreement.

**Electronic Signature Acknowledgement:** The signatories may sign this document electronically by using an approved electronic signature process. Each signatory electronically signing this document agrees that his/her electronic signature has the same legal validity and effect as his/her handwritten signature on the document, and that it has the same meaning as his/her handwritten signature.



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Mary Ann Zimmerman  
Deputy Executive Director  
Office of Privacy and Disclosure  
Office of the General Counsel

Date 5/8/2020

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Matthew D. Ramsey  
Chair  
Data Integrity Board

Date \_\_\_\_\_

**XIX. Department of Veterans Affairs, Veterans Benefits Administration Signatures**

The signatories below warrant and represent that they have the competent authority on behalf of their respective agencies to enter into the obligations set forth in this agreement.

**Electronic Signature Acknowledgement:** The signatories may sign this document electronically by using an approved electronic signature process. Each signatory electronically signing this document agrees that his/her electronic signature has the same legal validity and effect as his/her handwritten signature on the document, and that it has the same meaning as his/her handwritten signature.

Digitally signed by BETH A. MURPHY  
302388  
Date: 2020.05.01 18:23:12 -04'00'

Beth Murphy, Executive Director  
Compensation Service

Date \_\_\_\_\_

Digitally signed by Burke, Ronald S.  
Date: 2020.05.04 10:58:27 -04'00'

Burke, Ronald S.  
Ronald S. Burke Jr., Executive Director  
Pension and Fiduciary Service

Date \_\_\_\_\_

Digitally signed by Paul Cunningham  
1734594  
Date: 2020.06.05 14:33:26 -04'00'

Paul Cunningham 1734594  
James Gfrerer, Chair  
Data Integrity Board

Date \_\_\_\_\_

Attachment: Cost-Benefit Analysis